

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uttam Sugar Mills Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532729
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31.03.2022
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :09/04/2022

NSDL : 31/03/2022

CDSL :31/03/2022



The image shows a handwritten signature in blue ink over a circular stamp. The stamp contains the text "UTTAM SUGAR MILLS LIMITED" around the perimeter and "UTTAM SUGAR MILLS LIMITED" in the center.

Uttam Sugar Mills Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	18	28385441	0	0	28385441	74.4280	28385441	0	28385441	74.4280	0	0.0000	9484170	33.412000	28385441		
(B)	Public	25142	9752679	0	0	9752679	25.5720	9752679	0	9752679	25.5720	0	0.0000	NA	NA	9373384		
(C)	Non Promoter - Non Public				0				0			0	0.0000	NA	NA			
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total	25160	38138120	0	0	38138120	100.0000	38138120	0	38138120	100.0000	0	0.0000	9484170	24.867900	37758825		

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Uttam Sugar Mills Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding %, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
										No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
										Class eg: X	Class eg: Y	Total								
1	(I)		(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of (A+B+C)	(IX)			(X)	(XI) =	(XII)	(XIII)		(XIV)		
(a)	Indian																			
	Individuals / Hindu Undivided Family			12	5054060	0	0	5054060	13.2520	5054060	0	5054060	13.2520	0	0.0000	3484170	68.9380	5054060		
	Raj Kumar Adlakha	Promoters	AJZPK7896C	1	1624610	0	0	1624610	4.2598	1624610	0	1624610	4.2598	0	0.0000	1624610	100.0000	1624610		
	Ranjan Adlakha	Promoters	AAEPAB800E	1	1492550	0	0	1492550	3.9135	1492550	0	1492550	3.9135	0	0.0000	1492550	100.0000	1492550		
	Shomna Adlakha	Promoter Group	AEMPA3857P	1	870410	0	0	870410	2.2823	870410	0	870410	2.2823	0	0.0000	0	0.0000	870410		
	Ranjana Chopra	Promoter Group	AAFP2296R	1	373300	0	0	373300	0.9788	373300	0	373300	0.9788	0	0.0000	0	0.0000	373300		
	Rajan Adlakha	Promoters	ADEPA1936C	1	367010	0	0	367010	0.9623	367010	0	367010	0.9623	0	0.0000	367010	100.0000	367010		
	Rajni Babbar	Promoter Group	ACCPB1027G	1	139910	0	0	139910	0.3669	139910	0	139910	0.3669	0	0.0000	0	0.0000	139910		
	Sunayna Sunna Adlakha	Promoter Group	BOJPA0559P	1	114856	0	0	114856	0.3012	114856	0	114856	0.3012	0	0.0000	0	0.0000	114856		
	Shanta	Promoter Group	AMEPS8581J	1	60000	0	0	60000	0.1573	60000	0	60000	0.1573	0	0.0000	0	0.0000	60000		
	Jai Adlakha	Promoter Group	AXUPA5278K	1	4200	0	0	4200	0.0110	4200	0	4200	0.0110	0	0.0000	0	0.0000	4200		
	Raj Kumar Adlakha Huf	Promoter Group	AAGHR4573R	1	4104	0	0	4104	0.0108	4104	0	4104	0.0108	0	0.0000	0	0.0000	4104		
	Balram Adlakha	Promoter Group	AWTPA3967F	1	3100	0	0	3100	0.0081	3100	0	3100	0.0081	0	0.0000	0	0.0000	3100		
	Sonia Adlakha	Promoter Group	ACBPA8837E	1	10	0	0	10	0.0000	10	0	10	0.0000	0	0.0000	0	0.0000	10		
(b)	Central Government / State Government(s)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(c)	Financial Institutions / Banks			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(d)	Any Other (Specify)			6	23331381	0	0	23331381	61.1760	23331381	0	23331381	61.1760	0	0.0000	6000000	25.7164	23331381		
	Bodles Corporate			6	23331381	0	0	23331381	61.1760	23331381	0	23331381	61.1760	0	0.0000	6000000	25.7164	23331381		
	Uttam Adlakha & Sons Holdings Private Limited	Promoter Group	AAACG0405E	1	16301224	0	0	16301224	42.7426	16301224	0	16301224	42.7426	0	0.0000	0	0.0000	16301224		
	Lipi Bollers Private Limited	Promoters	AAACL1650L	1	3515000	0	0	3515000	9.2165	3515000	0	3515000	9.2165	0	0.0000	2950000	83.9260	3515000		
	Uttam Industrial Engineering Private Limited	Promoters	AAACU1074B	1	2750000	0	0	2750000	7.2106	2750000	0	2750000	7.2106	0	0.0000	2750000	100.0000	2750000		
	New Castle Finance And Leasing Pvt Ltd	Promoter Group	AAACN0386K	1	380650	0	0	380650	0.9981	380650	0	380650	0.9981	0	0.0000	0	0.0000	380650		
	Uttam Sucrotech Limited	Promoters	AAACU4042K	1	314267	0	0	314267	0.8240	314267	0	314267	0.8240	0	0.0000	300000	95.4602	314267		
	Shubham Sugars Limited	Promoter Group	AAICS3936L	1	70240	0	0	70240	0.1842	70240	0	70240	0.1842	0	0.0000	0	0.0000	70240		
	Sub Total (A)(1)			18	28385441	0	0	28385441	74.4280	28385441	0	28385441	74.4280	0	0.0000	9484170	33.4121	28385441		
2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(b)	Government			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(c)	Institutions			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(e)	Any Other (Specify)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
	Sub Total (A)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			18	28385441	0	0	28385441	74.4280	28385441	0	28385441	74.4280	0	0.0000	9484170	33.4121	28385441		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

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Uttam Sugar Mills Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a	(ix)			(x)	(xi)=	(xii)		(xiii)		(xiv)	
1	Institutions																		
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		1	49508	0	0	49508	0.1298	49508	0	49508	0.1298	0	0.1298	0	0.0000	NA	NA	49508
(f)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		1	49508	0	0	49508	0.1298	49508	0	49508	0.1298	0	0.1298	0	0.0000	NA	NA	49508
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

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3	Non-Institutions																		
(a)	Individuals		0	0										0		NA	NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	24299	4008708	0	0	4008708	10.5110	4008708	0	4008708	10.5110	0	10.5110	0	0.0000	NA	NA	4003113	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	27	4244525	0	0	4244525	11.1294	4244525	0	4244525	11.1294	0	11.1294	0	0.0000	NA	NA	3871025	
	Anil Kumar Goel	AAJPG2552Q	1809000	0	0	1809000	4.7433	1809000	0	1809000	4.7433	0	4.7433	0	0.0000	NA	NA	1809000	
	Seema Goel	AHAPG8015A	880000	0	0	880000	2.3074	880000	0	880000	2.3074	0	2.3074	0	0.0000	NA	NA	880000	
	Yogesh Chopra	ABGPC2316L	462300	0	0	462300	1.2122	462300	0	462300	1.2122	0	1.2122	0	0.0000	NA	NA	462300	
(b)	NBFCs registered with RBI		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
	Trust Employee		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(c)	Any Other (Specify)		815	1449938	0	0	1449938	3.8018	1449938	0	1449938	3.8018	0	3.8018	0	0.0000	NA	NA	1449738
	Hindu Undivided Family		445	520849	0	0	520849	1.3657	520849	0	520849	1.3657	0	1.3657	0	0.0000	NA	NA	520849
	Non Resident Indians (Non Repat)		81	60303	0	0	60303	0.1581	60303	0	60303	0.1581	0	0.1581	0	0.0000	NA	NA	60303
	Non Resident Indians (Repat)		135	139358	0	0	139358	0.3654	139358	0	139358	0.3654	0	0.3654	0	0.0000	NA	NA	139358
	Body Corp-Ltd Liability Partnership		7	2834	0	0	2834	0.0074	2834	0	2834	0.0074	0	0.0074	0	0.0000	NA	NA	2834
	Clearing Member		62	76662	0	0	76662	0.2010	76662	0	76662	0.2010	0	0.2010	0	0.0000	NA	NA	76662
	Bodies Corporate		85	649932	0	0	649932	1.7042	649932	0	649932	1.7042	0	1.7042	0	0.0000	NA	NA	649732
	Vedcera Services Private Limited	AACCV8939L	1	518094	0	0	518094	1.3585	518094	0	518094	1.3585	0	1.3585	0	0.0000	NA	NA	518094
	Sub Total (B)(3)		25141	9703171	0	0	9703171	25.4422	9703171	0	9703171	25.4422	0	25.4422	0	0.0000	NA	NA	9323876
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25142	9752679	0	0	9752679	25.5720	9752679	0	9752679	25.5720	0	25.5720	0	0.0000	NA	NA	9373384

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Uttam Sugar Mills Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a	(ix)			(x)	(xi) =	(xii)		(xiii)		(xiv)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Uttam Sugar Mills Limited

Table V - Significant Beneficial Owners

Sr. No	Details of the significant beneficial owner (I)			Details of the registered owner (II)			Details of Holding/exercise of right of the SBO in the reporting Company, Whether Direct or Indirect*: (III)					Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Whether by Virtue of:					
							Shares	Voting Rights	Rights on Distributable Dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	RAJ KUMAR ADLAKHA	AJZPK7896C	INDIAN	4.26	4.26		No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	LIPI BOILERS PRIVATE LIMITED	AAACL1650L	INDIAN	9.22	9.22		No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	Raj Kumar Adlakha Huf	AAGHR4573R	INDIAN	0.01	0.01		No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	UTTAM ADLAKHA & SONS HOLDINGS PRIVATE LIMITED	AAACG0405E	INDIAN	42.74	42.74		No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	SHUBHAM SUGARS LTD.	AAICS3936L	INDIAN	0.18	0.18		No	No	17-11-2000
2	RANJAN ADLAKHA	AAEPA8800E	Indian	RANJAN ADLAKHA	AAEPA8800E	INDIAN	3.91	3.91		No	No	17-11-2000
	RANJAN ADLAKHA	AAEPA8800E	Indian	UTTAM INDUSTRIAL ENGINEERING PVT. LTD.	AAACU1074B	INDIAN	7.21	7.21		No	No	17-11-2000


